

12/20/01
JC962 U.S.
PTO

12-31-01 A

Please type a plus sign (+) inside this box → PTO/SB/05 (08-00)
Approved for use through 10/31/2002 OMB 0651-0032

U S. Patent and Trademark Office, U S DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (*if filed*)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets]
5. Oath or Declaration [Total Pages]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 17 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. Application Data Sheet. See 37 CFR 1.76

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)of prior application No.

Prior application information:

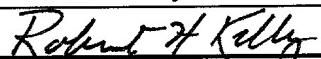
Examiner Group / Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)			<input checked="" type="checkbox"/> Correspondence address below
--	---	--	--	--

Name	Docket Clerk		
Address	P.O. Box 802432		
City	Dallas	State	TX
Country	USA	Telephone	(972) 466-7414
		Fax	(972) 466-7044

Name (Print/Type)	Robert H. Kelly		Registration No. (Attorney/Agent)	33,922
Signature			Date	10/20/01

Burden Hour Statement: This form is estimated to take 0 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$ 740.00)

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Khiem Le
Examiner Name	D. Ton
Group Art Unit	2661
Attorney Docket No.	NC17077C1

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	50-0208
Deposit Account Name	Novakov Davis & Munck, P.C.

- Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17
- Applicant claims small entity status.
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
105	130	205 65 Surcharge - late filing fee or oath	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for ex parte reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	
116	390	216 195 Extension for reply within second month	
117	890	217 445 Extension for reply within third month	
118	1,390	218 695 Extension for reply within fourth month	
128	1,890	228 945 Extension for reply within fifth month	
119	310	219 155 Notice of Appeal	
120	310	220 155 Filing a brief in support of an appeal	
121	270	221 135 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidable	
141	1,240	241 620 Petition to revive - unintentional	
142	1,240	242 620 Utility issue fee (or reissue)	
143	440	243 220 Design issue fee	
144	600	244 300 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Petitions related to provisional applications	
126	240	126 240 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	0
146	710	246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279 355 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	
Other fee (specify) _____			

SUBTOTAL (1) (\$ 740.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
9	-20** = 0	x 18.00	= 0
1	-3** = 0	x 84.00	= 0
Multiple Dependent			

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	270	204 135 Multiple dependent claim, if not paid
109	80	209 40 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0)

**or number previously paid, if greater; For Reissues, see above

Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 0)

SUBMITTED BY

Name (Print/Type)	Robert H. Kelly	Registration No. (Attorney/Agent)	33,922	Telephone	(214) 922-9221
Signature	<i>Robert H. Kelly</i>		Date	20 Dec 01	

Complete (if applicable)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOVAKOV DAVIS & MUNCK

A PROFESSIONAL CORPORATION

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(214) 922-9221

ATTORNEYS AND
COUNSELORS

December 19, 2001

Telecopier
(214) 969-7557

Box PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231

EXPRESS MAIL RECEIPT No. ET838009068US

Re: U.S. Patent Application for "APPARATUS AND ASSOCIATED METHOD FOR
COMMUNICATING MULTIMEDIA INFORMATION UPON A
COMMUNICATION LINK"
Docket No. NC17077-C1 (NOKI02-00108)

Dear Sir:

Enclosed please find the following documents for filing:

- 1) Copy of Specification* (49 pages);
- 2) Copy of Drawings* (9 pages);
- 3) Copy of Declaration* (2 pages);
- 4) Utility Patent Application Transmittal;
- 5) Fee Transmittal (in duplicate);
- 6) Preliminary Amendment;
- 7) Information Disclosure Statement;
- 8) Form PTO-1449;
- 9) Check in the amount of \$740.00;
- 10) Certificate of Mailing by Express Mail; and
- 11) a postcard receipt.

* The declaration is a copy of the declaration document filed in the priority application of application serial number 09/283,808, filed on April 1, 1999. The copy of the declaration enclosed herewith identifies the attorney docket number to be NC17077-C1 to distinguish the copy of the declaration from the declaration submitted together with the file of the priority application.

Commissioner for Patents
December 19, 2001
Page 2

If any problems arise in the filing of the enclosed documents, please contact Robert H. Kelly at (214) 922-9221. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Novakov Davis Deposit Account No. 50-0208.

Very truly yours,

NOVAKOV DAVIS & MUNCK, P.C.



Robert H. Kelly
Registration No. 33,922

RHK:dt
Enclosures

DOCKET NO.: NC17077C1

PATENT

Client No.: NOKI02-00108

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : KHIEM LE

Serial No. : To be assigned

Filing Date : To be assigned

Prior Serial No. : 09/283,808

Prior Filing Date : April 1, 1999

Prior Examiner : Ton, Dang

For : APPARATUS AND ASSOCIATED METHOD FOR
COMMUNICATING MULTIMEDIA INFORMATION UPON A
COMMUNICATION LINK

Box PATENT APPLICATION

Commissioner of Patents

Washington, D. C. 20231

CERTIFICATE OF MAILING BY EXPRESS MAIL

Sir:

The undersigned hereby certifies that the following documents:

- 1) Preliminary Amendment
- 2) Copy of Specification (49 pages) *
- 3) Drawings (9 pages)*
- 4) Declaration (2 pages)*
- 5) Check in the amount of \$740.00
- 6) Utility Transmittal Letter
- 7) Fee Transmittal (in duplicate)
- 8) Information Disclosure Statement
- 9) Form PTO-1449
- 10) Cover Letter
- 11) Postcard Receipt

*as filed in Application S/N 09/283,808

ATTORNEY DOCKET No. NC17077-C1
PATENT

relating to the above application, were deposited as "Express Mail", Mailing Label No. ET838009068US with the United States Postal Service, addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231, on this 20th day of December, 2001.

Date: 20 Dec 01

Diane Taylor
Mailer

Date: 20 Dec 01

Robert H Kelly
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